



UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

Reports on Federal Awards in Accordance
with the Uniform Guidance

June 30, 2020

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

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UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

Schedule of Expenditures of Federal Awards

June 30, 2020

Federal grantor/pass-through program or cluster title	CFDA number	Pass-through entity	Pass-through entity identifying number	Federal expenditures	Subaward expenditures
Research and Development Cluster:					
U.S. Department of Agriculture:					
Consumer Data and Nutrition Research	10.253	UACES	82519-01	\$ 28,465	—
Agriculture and Food Research Initiative	10.310	University Of Arkansas At Little Rock	253549-18UAMS	51,850	—
Agriculture and Food Research Initiative	10.310			40,798	—
Food and Nutrition Service	10.574	Arkansas Department Of Human Services	4600039804	68,165	—
Delta Health Services Grant Program	10.874	Winrock International	6918-19-A-02	62,306	—
Delta Health Services Grant Program	10.874	Winrock International	6918-19-A-03	12,856	—
Total for U.S. Department of Agriculture				264,440	—
U. S. Department of Defense:					
Department of the Army Medical Command	12.000			66,454	—
Military Medical Research and Development	12.420			153,972	—
Military Medical Research and Development	12.420			452,810	—
Military Medical Research and Development	12.420			80,847	—
Military Medical Research and Development	12.420	University Of Washington	UWSC9137	21,060	—
Military Medical Research and Development	12.420			42,037	—
Military Medical Research and Development	12.420			360,607	—
Military Medical Research and Development	12.420			181,155	—
Military Medical Research and Development	12.420	University Of Arkansas At Little Rock	253248-20UAMS	76,672	—
Military Medical Research and Development	12.420			36,379	—
Total for U.S. Department of Defense				1,471,993	—
U.S. Department of Justice					
Miscellaneous Department of Justice Programs	16.000			984	—
Crime Victim Assistance	16.575	Arkansas Department Of Finance And Administration	191601-18VG	88,928	—
Crime Victim Assistance/Discretionary	16.582			440,162	—
Total for U.S. Department of Justice				530,074	—
National Aeronautics and Space Administration					
Education Grants	43.000	Arkansas Space Grant Consortium	242031-19UAMS	3,680	—
Exploration Grants	43.003			70,488	56,385
Exploration Grants	43.003	Baylor College Of Medicine	700000807	470,485	—
Exploration Grants	43.003			268,042	56,412
Education Grants	43.008	Arkansas Space Grant Consortium	UAMS27021	16	—
Education Grants	43.008			(1)	—
Education Grants	43.008	University Of Arkansas At Little Rock	UAMS29028	12,047	—
Total for National Aeronautics and Space Administration				824,757	112,797
National Science Foundation					
Engineering Grants	47.041	University Of Arkansas At Fayetteville	SA1910239	9,592	—
Biological Sciences Grants	47.074			134,353	—
Biological Sciences Grants	47.074			116,407	—
Social, Behavioral, and Economics	47.075			109,364	—
Office of Integrative Activities	47.083	Arkansas Economic Development Commission	18-EPS3-0003	462,719	—
Office of Integrative Activities	47.083	Louisiana Tech University	32-0965-54132-UAMS	221,856	—
Total for National Science Foundation				1,054,291	—
U.S. Department of Health and Human Services					
Miscellaneous U. S. Department of Health and Human Services	93.000	University Of Alabama – Birmingham	000500918-T013-003	(780)	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Arkansas Research Alliance	Bio2	133,761	—
Miscellaneous U. S. Department of Health and Human Services	93.000			72	—
Miscellaneous U. S. Department of Health and Human Services	93.000	University Of Alabama – Birmingham	HHSN2722013000121	—	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Cyto Wave Technology, Llc	R41AH138690	126,651	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Alliance For Clinical Trials In Oncology	228436	7,200	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Duke University	A030662	176,846	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Duke University	Advance Account	(214)	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Arkansas Department Of Health	4,501,849,913	(66)	—
Miscellaneous U. S. Department of Health and Human Services	93.000	American Academy Of Child And Adolescent	HHSN271201700059C	9,824	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Arkansas Research Alliance	CLIN 0002- BIO3	409,932	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Capital Consulting Corporation	HSH25020170038G	2,385	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Ind Univ-Purdue Univ. At Indianapolis	11000850-024	64,923	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Leidos Biomedical Research, Inc	16X011	130,405	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Leidos Biomedical Research, Inc	16X011	269,907	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Leidos Biomedical Research, Inc	16X011	23,256	—
Miscellaneous U. S. Department of Health and Human Services	93.000	University Of Utah	10051759-ARK	77,965	—

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Schedule of Expenditures of Federal Awards

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Federal grantor/pass-through program or cluster title	CFDA number	Pass-through entity	Pass-through entity identifying number	Federal expenditures	Subaward expenditures
Miscellaneous U. S. Department of Health and Human Services	93.000	Leidos Biomedical Research, Inc	20X023F TO 1	\$ 12,230	—
Miscellaneous U. S. Department of Health and Human Services	93.000	University Of Kentucky Research Foundati	3200003129-20-277	12,893	—
Innovations in Applied Public Health Research	93.061			331,402	—
Birth Defects and Developmental Disabilities – Prevention and Surveillance	93.073			1,006,569	21,349
Family Smoking Prevention and Tobacco Control Act Regulatory	93.077	Virginia Commonwealth University	FP00006477-SA002	109,654	—
General Grant Funding Food and Drug Administration	93.103			4,079	—
General Grant Funding Food and Drug Administration	93.103	Clinical Data Interchange Standards Consortium	HHSF223201510105C	167,434	37,774
Maternal and Child Health Federal Consolidated Programs	93.110			741,079	203,071
Maternal and Child Health Federal Consolidated Programs	93.110	Children's Hospital of Philadelphia	3208960819-S1/26649762	3,890	—
Maternal and Child Health Federal Consolidated Programs	93.110			4,321	—
Centers for Research and Demonstration for Health Promotion and Disease Prevention	93.135			212,169	9,054
Injury Prevention and Control Research and State and Community Based Programs	93.136	Arkansas Department Of Health	4600045741	212,497	—
Research Related to Deafness and Communication Disorders	93.173	Fauxsee Innovations Llc	2018 SBIR Sub Agreement	6,124	—
Research and Training in Complementary and Alternative Medicine	93.213	Northern California Institute For Resear	SEAL2078.06	18,866	—
Research on Healthcare Costs, Quality and Outcome	93.226			254,125	58,877
Mental Health Research Grants	93.242	Washington/Madison County Adult Drug Cou	Tl080136	65,020	—
Mental Health Research Grants	93.242	Actualize Therapy Llc	R44MH114725	20,858	—
Mental Health Research Grants	93.242			207,031	—
Mental Health Research Grants	93.242			116,488	63,414
Mental Health Research Grants	93.242	University Of Washington	UWSC11556	7,932	—
Mental Health Research Grants	93.242	University Of Rochester	417724G UR FAO GR511044	23,218	—
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243	Benton County Drug Court	Tl080172	75,073	—
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243			284,810	134,305
Alcohol Research Programs	93.273			359,943	—
Alcohol Research Programs	93.273			162,492	—
Alcohol Research Programs	93.273	Univ Oklahoma Health Science Center	RS20131943-07	138,753	30,000
Alcohol Research Programs	93.273			431,913	269,076
Alcohol Research Programs	93.273			274,607	100,668
Drug Abuse and Addiction Research Programs	93.279			81,370	—
Drug Abuse and Addiction Research Programs	93.279			21,057	—
Drug Abuse and Addiction Research Programs	93.279			—	—
Drug Abuse and Addiction Research Programs	93.279	Intervexon Therapeutics, Llc	40665	(5,548)	—
Drug Abuse and Addiction Research Programs	93.279			64,808	6,273
Drug Abuse and Addiction Research Programs	93.279			408,969	—
Drug Abuse and Addiction Research Programs	93.279			597,861	—
Drug Abuse and Addiction Research Programs	93.279			56	—
Drug Abuse and Addiction Research Programs	93.279			99,407	—
Drug Abuse and Addiction Research Programs	93.279	Intervexon Therapeutics, Llc	52164	280,504	—
Drug Abuse and Addiction Research Programs	93.279	Miriam Hospital	7109993MZ	6,542	—
Drug Abuse and Addiction Research Programs	93.279			37,520	—
Drug Abuse and Addiction Research Programs	93.279			177,848	18,098
Drug Abuse and Addiction Research Programs	93.279			374,382	—
Drug Abuse and Addiction Research Programs	93.279			1,990	—
Drug Abuse and Addiction Research Programs	93.279			201,002	—
Drug Abuse and Addiction Research Programs	93.279	University Of Kentucky Research Foundation	3200002060-19-052	26,403	—
Drug Abuse and Addiction Research Programs	93.279			24,087	—
Drug Abuse and Addiction Research Programs	93.279			101,254	—
Drug Abuse and Addiction Research	93.279	Miriam Hospital	7109993MZ-2	3,799	—
Drug Abuse and Addiction Research Programs	93.279	Cornell University	182864	6,245	—
Drug Abuse and Addiction Research Programs	93.279			140,745	—
Drug Abuse and Addiction Research Programs	93.279			387,358	—
Drug Abuse and Addiction Research Programs	93.279			201,144	—
Drug Abuse and Addiction Research Programs	93.279			135,707	24,780
Drug Abuse and Addiction Research Programs	93.279	Intervexon Therapeutics, LLC	53,890	28,366	—
Drug Abuse and Addiction Research Programs	93.279	University Of Texas At Dallas	GMO 200606 PO#000000	2,235	—
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286			(1,017)	—
Minority Health and Health Disparities Research	93.307			408,376	107,141
Minority Health and Health Disparities Research	93.307			10,807	—
Minority Health and Health Disparities Research	93.307			1,559,361	67,231
Minority Health and Health Disparities Research	93.307	University Of North Carolina – Chapel Hi	5111631	18,217	—
Minority Health and Health Disparities Research	93.307			437,061	—
Minority Health and Health Disparities Research	93.307	Arkana Laboratories	R43 MD014110	62,906	—
Trans-NIH Research Support	93.310	Duke University	A03-2484 AND A03-2485	114,627	34,144
Trans-NIH Research Support	93.310	Duke University	A03-2484	52,754	—
Epidemiology and Laboratory Capacity for Infectious Diseases	93.323	Arkansas Department Of Health	4600042700	7,199	—
National Center for Advancing Translational Sciences	93.350	University Of Pittsburgh	0055353 (129324-26)	36,807	—

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Federal grantor/pass-through program or cluster title	CFDA number	Pass-through entity	Pass-through entity identifying number	Federal expenditures	Subaward expenditures
National Center for Advancing Translational Sciences	93.350			\$ (1,390)	—
National Center for Advancing Translational Sciences	93.350			458,967	—
National Center for Advancing Translational Sciences	93.350	Univ. Of Southern California	119679618	100,802	—
National Center for Advancing Translational Sciences	93.350	Duke University	A031705	87,090	—
Research Infrastructure Programs	93.351			759,469	—
Cancer Cause and Prevention Research	93.393			110,220	—
Cancer Cause and Prevention Research	93.393			3,931	—
Cancer Cause and Prevention Research	93.393			315,085	13,185
Cancer Cause and Prevention Research	93.393			372	—
Cancer Cause and Prevention Research	93.393	Southwest Oncology Group	SWOG 1404	25,125	—
Cancer Cause and Prevention Research	93.393	Southwest Oncology Group	207217	4,800	—
Cancer Cause and Prevention Research	93.393			243,113	94,816
Cancer Cause and Prevention Research	93.393	University Of California At Berkeley	7456431	24,847	—
Cancer Cause and Prevention Research	93.393			164,601	—
Cancer Cause and Prevention Research	93.393	Alliance For Clinical Trials In Oncology	A041701	26,793	—
Cancer Cause and Prevention Research	93.393	Southwest Oncology Group	239,482	250	—
Cancer Cause and Prevention Research	93.393	Southwest Oncology Group	239,482	250	—
Cancer Cause and Prevention Research	93.393	Southwest Oncology Group	239,475	250	—
Cancer Cause and Prevention Research	93.393	Southwest Oncology Group	239,475	13,608	—
Cancer Cause and Prevention Research	93.393			65,246	—
Cancer Detection and Diagnosis Research	93.394			179,859	—
Cancer Detection and Diagnosis Research	93.394			157,181	111,821
Cancer Detection and Diagnosis Research	93.394			148,098	40,976
Cancer Detection and Diagnosis Research	93.394	Radiation Monitoring Devices, Inc.	C17-27	22,494	—
Cancer Detection and Diagnosis Research	93.394			2,032,869	1,416,379
Cancer Detection and Diagnosis Research	93.394			(19,312)	—
Cancer Detection and Diagnosis Research	93.394			120,142	—
Cancer Detection and Diagnosis Research	93.394			—	38,093
Cancer Detection and Diagnosis Research	93.394	Leidos Biomedical Research, Inc	20X023F TO 2	312,508	—
Cancer Detection and Diagnosis Research	93.394	Leidos Biomedical Research, Inc	20X023F	153,667	—
Cancer Detection and Diagnosis Research	93.394	University Of Arkansas At Fayetteville	UA2020-140	543,157	—
Cancer Detection and Diagnosis Research	93.394			47,826	—
Cancer Detection and Diagnosis Research	93.394			9,253	—
Cancer Detection and Diagnosis Research	93.394	Leidos Biomedical Research, Inc	20X023F	4,170	—
Cancer Detection and Diagnosis Research	93.394	Leidos Biomedical Research, Inc	20X023F TO5	18,654	—
Cancer Treatment Research	93.395			678,580	—
Cancer Treatment Research	93.395	University Of California At Los Angeles	1568 G TA614	528,505	—
Cancer Treatment Research	93.395			69,974	54,273
Cancer Treatment Research	93.395			289,090	202,625
Cancer Treatment Research	93.395	University Of Texas-Md Anderson Cancer	300135557	144,721	17,786
Cancer Treatment Research	93.395	University Of Florida	UFDSP00012380	10,527	—
Cancer Treatment Research	93.395	Oregon Health & Science University	1013080_UAMS	5,988	—
Cancer Treatment Research	93.395			402,117	—
Cancer Biology Research	93.396	Yale University	GR000657/CON80000303	—	—
Cancer Biology Research	93.396			35,641	—
Cancer Biology Research	93.396			7,840	—
Cancer Biology Research	93.396	Medical College Of Wisconsin	7R01CA151354-08	6,989	—
Cancer Centers Support Grants	93.397	Washington University	WU-18-96	1,269	—
Cancer Centers Support Grants	93.397	Mayo Clinic	UNI-208331-01	63,500	—
Cancer Centers Support Grants	93.397	Mayo Clinic	ARK-208331/PO #67075839	54,319	—
Cancer Centers Support Grants	93.397	Washington University	WU-20-331	43,497	—
Cancer Research Manpower	93.398			144,732	—
Cancer Research Manpower	93.398			35,814	—
COVID-19 Mental and Substance Use Disorders During	93.665	Arkansas Department Of Human Services	4600046798	8,190	—
Opioid STR	93.788	Arkansas Department Of Human Services	4600043808	152,882	—
Opioid STR	93.788	Arkansas Department Of Human Services	4600043603	509,453	—
Opioid STR	93.788	Arkansas Department Of Human Services	4,600,044,371	177,550	—
Opioid STR	93.788	Arkansas Department Of Human Services	4,600,044,570	117,412	—
Opioid STR	93.788	Arkansas Department Of Human Services	4,600,044,625	73,203	—
Opioid STR	93.788	Arkansas Department Of Human Services	4600044669	502,906	—
Opioid STR	93.788	Arkansas Department Of Human Services	4600044610	298,891	—
Opioid STR	93.788	Arkansas Department Of Human Services	4600044620	83,333	—
Cardiovascular Disease Research	93.837			49,963	—
Cardiovascular Disease Research	93.837	University Of Alabama – Birmingham	000503570-036 A1	7,384	—
Cardiovascular Disease Research	93.837			372,125	—
Blood Diseases and Resources Research	93.839	University Of Kentucky Research Foundation	3200001341-18-078	38,669	—

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Federal grantor/pass-through program or cluster title	CFDA number	Pass-through entity	Pass-through entity identifying number	Federal expenditures	Subaward expenditures
Blood Diseases and Resources Research	93.839			\$ 129,182	42,532
Blood Diseases and Resources Research	93.839	University Of Pennsylvania	574756	46,892	—
Arthritis, Musculoskeletal, and Skin Diseases Research	93.846			163,789	—
Arthritis, Musculoskeletal, and Skin Diseases Research	93.846			435,748	—
Arthritis, Musculoskeletal, and Skin Diseases Research	93.846	University Of Memphis	5-40387	134,152	—
Arthritis, Musculoskeletal, and Skin Diseases Research	93.846			122,922	—
Arthritis, Musculoskeletal, and Skin Diseases Research	93.846	Kitware Incorporated	K002704-00-S02	21,690	—
Arthritis, Musculoskeletal, and Skin Diseases Research	93.846			74,972	—
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847			113,332	—
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847			46,965	—
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847			362,377	151,814
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847			96,763	—
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847			415,541	—
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	Vanderbilt University	1UNIV60433	5,162	—
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847			87,640	—
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847			481	—
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	University Of Alabama – Birmingham	518751-SP008-003	63,211	—
Extramural Research Programs in Neurological Disorders	93.853			50,470	—
Extramural Research Programs in Neurological Disorders	93.853			49,877	6,393
Extramural Research Programs in Neurological Disorders	93.853	Stanford University	61865505-125439	17,248	—
Extramural Research Programs in Neurological Disorders	93.853			266,398	2,806
Extramural Research Programs in Neurological Disorders	93.853			145,890	—
Extramural Research Programs in Neurological Disorders	93.853	University Of Cincinnati	260,036	250	—
Extramural Research Programs in Neurological Disorders	93.853	University Of Cincinnati	012043-138777	250	—
Extramural Research Programs in Neurological Disorders	93.853			65	—
Extramural Research Programs in Neurological Disorders	93.853	University Of Alabama – Birmingham	000504111-001	52,676	—
Allergy, Immunology, and Transplantation Research	93.855			282,279	—
Allergy, Immunology, and Transplantation Research	93.855			(193)	—
Allergy, Immunology, and Transplantation Research	93.855			249,258	—
Allergy, Immunology, and Transplantation Research	93.855			468,661	—
Allergy, Immunology, and Transplantation Research	93.855			279,788	—
Allergy, Immunology, and Transplantation Research	93.855	Washington University	128936_G003692	58,948	—
Allergy, Immunology, and Transplantation Research	93.855	Indiana University	IN-468979UAMS	(109)	—
Allergy, Immunology, and Transplantation Research	93.855			643,676	495,753
Allergy, Immunology, and Transplantation Research	93.855			94,821	—
Allergy, Immunology, and Transplantation Research	93.855			72,043	—
Allergy, Immunology, and Transplantation Research	93.855			141,592	—
Allergy, Immunology, and Transplantation Research	93.855			268,248	—
Allergy, Immunology, and Transplantation Research	93.855			112,627	—
Allergy, Immunology, and Transplantation Research	93.855			110,882	—
Allergy, Immunology, and Transplantation Research	93.855			134,977	—
Allergy, Immunology, and Transplantation Research	93.855	Innovation Pathways	UAMS 001	59,743	—
Allergy, Immunology, and Transplantation Research	93.855			159,408	76,934
Allergy, Immunology, and Transplantation Research	93.855			301,926	—
Allergy, Immunology, and Transplantation Research	93.855	Indiana University	87813	28,668	—
Allergy, Immunology, and Transplantation Research	93.855			214,168	—
Allergy, Immunology, and Transplantation Research	93.855	Miriam Hospital	7147159SAM	51,252	—
Allergy, Immunology, and Transplantation Research	93.855	East Carolina University	A20-0051-S002	46,942	—
Allergy, Immunology, and Transplantation Research	93.855			46,628	—
Allergy, Immunology, and Transplantation Research	93.855			9,401	—
Allergy, Immunology, and Transplantation Research	93.855	Johns Hopkins University	2,004,362,216	(2,500)	—
Allergy, Immunology, and Transplantation Research	93.855	Indiana University	8441_UA	17,659	—
Biomedical Research and Research Training	93.859			4,117	—
Biomedical Research and Research Training	93.859			280,590	—
Biomedical Research and Research Training	93.859			136,165	—
Biomedical Research and Research Training	93.859			41,794	18,336
Biomedical Research and Research Training	93.859			3,498,234	2,470,835
Biomedical Research and Research Training	93.859			2,082,362	—
Biomedical Research and Research Training	93.859			146,087	—
Biomedical Research and Research Training	93.859			1,096,993	—
Biomedical Research and Research Training	93.859			83,190	—
Biomedical Research and Research Training	93.859	Johns Hopkins University	2003311362	33,102	—
Biomedical Research and Research Training	93.859			709,982	—
Biomedical Research and Research Training	93.859	Arkansas Children'S Hospital	034485	227,892	—
Biomedical Research and Research Training	93.859			425,929	—
Biomedical Research and Research Training	93.859	Arkansas Children'S Research Institute	034973	4,538	—
Biomedical Research and Research Training	93.859			2,309,695	104,266
Biomedical Research and Research Training	93.859			371,523	—
Biomedical Research and Research Training	93.859			205,231	24,895

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Biomedical Research and Research Training	93.859	Arkansas Children'S Research Institute	GR034486	\$ 66,576	—
Biomedical Research and Research Training	93.859	Arkansas Children'S Research Institute	GR034487	63,868	—
Biomedical Research and Research Training	93.859	University Of New Mexico	3REY1	36,621	21,653
Biomedical Research and Research Training	93.859	Arkansas Children'S Research Institute	34,975	38,469	—
Biomedical Research and Research Training	93.859			113,392	—
Biomedical Research and Research Training	93.859			157,692	—
Child Health and Human Development Extramural Research	93.865	University Of Alabama – Birmingham	000507132-SC001	43,399	—
Child Health and Human Development Extramural Research	93.865			375,175	—
Child Health and Human Development Extramural Research	93.865			9,008,783	2,670,978
Child Health and Human Development Extramural Research	93.865			52,080	—
Child Health and Human Development Extramural Research	93.865			72,047	—
Child Health and Human Development Extramural Research	93.865			524,227	—
Child Health and Human Development Extramural Research	93.865			65,626	—
Aging Research	93.866	Medical University Of South Carolina	MUSC14-076	57,143	—
Aging Research	93.866			2,013,459	146,024
Aging Research	93.866	Medical University Of South Carolina	MUSC17-031-8A840	14,276	—
Aging Research	93.866	Essential Blends	2R42AG050375-02	(9,974)	—
Aging Research	93.866	Oregon Health & Science University	1011170_UAMS	20,795	—
Aging Research	93.866			344,195	15,581
Aging Research	93.866	Duke University	A030505	(2,492)	—
Aging Research	93.866	Duke University	A030523	(765)	—
Aging Research	93.866	University of Washington	11658SC	35,648	—
Aging Research	93.866	University Of Washington	WU-16-371-MOD-3	127,490	—
National Network of Library of Medicine	93.879			6,482	—
National Network of Library of Medicine	93.879			308,322	202,031
National Network of Library of Medicine	93.879			12,252	—
National Network of Library of Medicine	93.879	Univ Of North Tx Health Science Center	HS763-0000151064	38,948	—
National Network of Library of Medicine	93.879	Univ Of North Tx Health Science Center	HS763-0000151538	38,948	—
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program	93.912	Winthrop Rockefeller Center	1 P10RH33056-01-00	22,028	—
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program	93.912	Arkansas Rural Health Partnership	G25RH32922	49,246	—
HIV Prevention Activities_ Health Development Based	93.945	Arkansas Department Of Health	4,501,897,974	28,386	—
Walk With Ease Program	93.945	Arkansas Department Of Health	4501924081	12,768	—
COVID-19 PPHF: Geriatric Education Centers	93.969			887	—
Preventive Health and Health Services Block Grant	93.991	Arkansas Department Of Health	4501897979	32,302	—
Preventive Health and Health Services Block Grant	93.991	Arkansas Department Of Health	4600045339	30,007	—
Total for U.S. Department of Health and Human Services				<u>56,029,283</u>	<u>9,626,040</u>
Total for Research and Development Cluster				<u>60,174,838</u>	<u>9,738,837</u>
Head Start Cluster:					
U.S. Department of Health and Human Services – Direct Award					
Head Start – Class Room	93.600			6,126,533	—
Head Start – Class Room	93.600			(378)	—
Head Start – Class Room	93.600			2,872,026	—
Head Start – Class Room	93.600			117,936	—
Head Start – Class Room	93.600			(21,924)	—
Subtotal – Direct Award				<u>9,094,193</u>	—
U.S. Department of Health and Human Services – Pass Through Award					
Head Start – Class Room	93.600	Arkansas Department Of Human Services	4600041025	62,530	—
Subtotal – Pass Through Award				<u>62,530</u>	—
Total for U.S. Department of Health and Human Services				<u>9,156,723</u>	—
Total for Head Start Cluster				<u>9,156,723</u>	—
Student Financial Cluster					
U.S. Department of Education – Direct Award					
Federal Supplemental Educational Opportunity	84.007			27,360	—
Federal Pell Grant Program	84.063			1,032,850	—
Federal Student Loan – Captital Contribution	84.038			770,134	—
Federal Direct Student Loan	84.268			51,283,363	—
Subtotal – Direct Award				<u>53,113,707</u>	—
Total for U.S. Department of Education				<u>53,113,707</u>	—

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

Schedule of Expenditures of Federal Awards

June 30, 2020

Federal grantor/pass-through program or cluster title	CFDA number	Pass-through entity	Pass-through entity identifying number	Federal expenditures	Subaward expenditures
U.S. Department Health and Human Services – Direct Award					
Health Professions Student Loan, Including Primary Care Loans for Disadvantaged Students	93.342			\$ 5,740,752	—
National Center for Advancing Translational Sciences	93.350			4,038,270	251,633
National Center for Advancing Translational Sciences	93.350			149,720	—
Nursing Student Loans	93.364			432,744	—
Subtotal – Direct Award				<u>10,361,486</u>	<u>251,633</u>
Total for U.S. Department of Health and Human Services				<u>10,361,486</u>	<u>251,633</u>
Total for Student Financial Aid Cluster				<u>63,475,193</u>	<u>251,633</u>
Other:					
U. S. Department of Housing and Urban Development – Direct Award					
COVID-19 Support for Rural Hospitals	14.228			82,048	—
Total for U.S. Department of Housing and Urban Development				<u>82,048</u>	<u>—</u>
U.S. Department of Justice – Pass Through Award					
Harold Rogers Prescription Drug Monitoring	16.754	Arkansas Department Of Health	4,501,846,352	192,117	—
Total for U.S. Department of Justice				<u>192,117</u>	<u>—</u>
U.S. Department of Transportation – Pass Through Award					
State and Community Highway Safety	20.600	Arkansas State Police	OP-2019-11-11-01	42,342	—
State and Community Highway Safety	20.600	Arkansas State Police	OP-2019-11-11-01	121,997	—
State and Community Highway Safety	20.600	Arkansas State Police	M2CPS-2020-08-08-01	212,748	—
National Priority Safety Programs	20.616	Arkansas State Police	M2CPS-2019-08-08-01	65,151	—
Subtotal – Pass Through Award				<u>442,238</u>	<u>—</u>
Total for U.S. Department of Transportation				<u>442,238</u>	<u>—</u>
National Science Foundation – Pass Through Award					
Office of Integrative Activities	47.083	Ar Science & Technology Authority	18-EPS3-0013	190,291	—
Total for National Science Foundation				<u>190,291</u>	<u>—</u>
U.S. Department of Education – Direct Award					
COVID-19 Education Stabilization Fund	84.425F			116,748	—
COVID-19 Education Stabilization Fund	84.425E			486,488	—
Subtotal – Direct Award				<u>603,236</u>	<u>—</u>
Total for Education Stabilization Fund				<u>603,236</u>	<u>—</u>
Total for U.S. Department of Education				<u>603,236</u>	<u>—</u>
U.S. Consumer Product Safety Commission – Direct Award					
Consumer Product Safety Commission Contract	87.001			51,201	—
Total for U.S. Consumer Product Safety Commission Contract				<u>51,201</u>	<u>—</u>
U.S. Department of Health and Human Services- Direct Award					
Competitive Abstinence Education	93.060			203,173	68,200
Birth Defects and Developmental Disabilities – Prevention and Surveillance	93.073			1,478	—
Sodium Reduction in Communities	93.082			466,534	—
Area Health Education Centers Point of Service Maintenance and Enhancement Awards	93.107			931,727	—
Maternal and Child Health Federal Consolidated Programs	93.110			579,806	281,890
Telehealth Programs	93.211			301,994	3,499
Telehealth Programs	93.211			412,362	—
COVID-19 Telehealth Programs	93.211			50,578	—
Traumatic Brain Injury Demonstration Grant Program	93.234			141,185	—
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243			205,601	74,989
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243			420,107	309,668
Substance Abuse and Mental Health Services Projects of Regional and National Significance	93.243			274,376	17,379
Poison Center Support and Enhancement Grant Program	93.253			39,244	—
Poison Center Support and Enhancement Grant Program	93.253			132,144	—
State Physical Activity and Nutrition Program	93.439			655,466	—
Affordable Care Act – Teaching Health Center Graduate Medical Education Payments Program	93.530			264,634	—
Children's Justice Grants to States	93.643			3,873	—
Children's Justice Grants to States	93.643			112,133	—
Children's Justice Grants to States	93.643			69,702	—
Medical Student Education Program	93.680			700,139	35,835
PPHF: Racial and Ethnic Approaches to Community Health Program Finced Solely by Public Prevention and Health Funds	93.738			800,379	—

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

Schedule of Expenditures of Federal Awards

June 30, 2020

<u>Federal grantor/pass-through program or cluster title</u>	<u>CFDA number</u>	<u>Pass-through entity</u>	<u>Pass-through entity identifying number</u>	<u>Federal expenditures</u>	<u>Subaward expenditures</u>
Healthy Start Initiative	93.926			\$ 1,150,007	—
PPHF: Geriatric Education Centers	93.969			71,344	13,107
PPHF: Geriatric Education Centers	93.969			595,054	78,499
Autism and Other Developmental Disabilities, Surveillance, Research, and Prevention	93.998			504,899	—
Subtotal – Direct Award				<u>9,087,939</u>	<u>883,066</u>
U.S. Department of Health and Human Services- Pass Through Award					
Miscellaneous U. S. Department of Health and Human Services	93.000	Leidos Biomedical Research, Inc	16X011-#2	463,499	38,282
Miscellaneous U. S. Department of Health and Human Services	93.000	Leidos Biomedical Research, Inc	16X011-#3	50,910	25,097
Miscellaneous U. S. Department of Health and Human Services	93.000	Leidos Biomedical Research, Inc	16X011-#5	1,486	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Univ Of North Tx Health Science Center	HS763-0000147503	—	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Arkansas Department Of Human Services	4600043602	47,612	—
Injury Prevention and Control Research and State and Community Based Programs	93.136	Arkansas Department Of Health	4600039764	274,505	39,296
Outreach Programs to Reduce the Prevalence of Obesity in High Risk Rural Areas	93.319	Uaces	31010-01	111,647	—
Miscellaneous U. S. Department of Health and Human Services	93.000	Univ Of North Tx Health Science Center	51,740	(7)	—
Public Health Emergency Response	93.354	Arkansas Department Of Health	4,600,043,894	126,523	—
Affordable Care Act Maternal, Infant, and Early Childhood Home Visiting Program	93.505	Arkansas Children'S Hospital	D89MC23141-02-00	347,079	—
Children's Health Insurance Program	93.767	Arkansas Children'S Hospital	D89MC23141-02-00	155,231	—
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program	93.912	Greater Delta Alliance For Health, Inc.	51453	10,397	—
Block Grants for Prevention and Treatment of Substance Abuse	93.959	Arkansas Department Of Human Services	4600036835	300,047	—
Subtotal – Pass Through Award				<u>1,888,929</u>	<u>102,675</u>
Total for U.S. Department of Health and Human Services				<u>10,976,868</u>	<u>985,741</u>
Total for other federal expenses				<u>12,537,999</u>	<u>985,741</u>
Total for fiscal year 2020				\$ <u>145,344,753</u>	<u>10,976,211</u>

See accompanying notes to schedule of expenditures of federal awards.

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

Notes to Schedule of Expenditures of Federal Awards

June 30, 2020

(1) Basis of Accounting

The Schedule of Expenditures of Federal Awards is prepared on the accrual basis of accounting. Expenditures are recognized as they are incurred.

(2) Loans and Loan Guarantees

Federal awards expended under the following loan programs were determined based on the value of new loans made during the year, plus the balance of loans from previous years, for which the federal government imposes continuing compliance requirements, cash, or administrative cost allowance received. The outstanding balance as of June 30, 2020 of these loans is:

		<u>Balance of loans</u>	<u>New loans</u>
Federal Perkins Loan Programs	CFDA No. 84.038	\$ 260,989	—
Health Professions Student Loans	CFDA No. 93.342	4,943,332	1,083,647
Nursing Student Loans	CFDA No. 93.364	370,182	80,345
		<u>\$ 5,574,503</u>	<u>1,163,992</u>

University of Arkansas for Medical Sciences (UAMS) currently participates in the Federal Direct Student Loan Program (Direct Loan Program). Proceeds under the Direct Loan Program are disbursed by the federal government, rather than a lending institution, with UAMS providing various administrative support. As UAMS is only responsible for certain administrative duties, outstanding loans are not included in UAMS' financial statements.

(3) Indirect Costs

UAMS does not charge indirect costs to its federal awards based on the 10% de minimis cost rate, as described in Section 200.414 of the Uniform Guidance as it is not applicable to UAMS.



KPMG LLP
Suite 1400
2323 Ross Avenue
Dallas, TX 75201-2721

Independent Auditors' Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards*

The Board of Trustees
The University of Arkansas System:

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of University of Arkansas for Medical Sciences (UAMS), a campus of the University of Arkansas System, which comprise the statement of net position as of June 30, 2020, and the related statements of revenues, expenses, and changes in net position and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated October 5, 2020

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered UAMS' internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of UAMS' internal control. Accordingly, we do not express an opinion on the effectiveness of UAMS' internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether UAMS' financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.



Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the UAMS' internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the UAMS' internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

KPMG LLP

Dallas, Texas
October 5, 2020



KPMG LLP
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2323 Ross Avenue
Dallas, TX 75201-2721

Independent Auditors' Report on Compliance for Each Major Federal Program; Report on Internal Control Over Compliance; and Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

The Board of Trustees
The University of Arkansas System:

Report on Compliance for Each Major Federal Program

We have audited the University of Arkansas for Medical Sciences' (UAMS) compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on UAMS' major federal program for the year ended June 30, 2020. UAMS' major federal program is identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditors' Responsibility

Our responsibility is to express an opinion on compliance for UAMS' major federal program based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about UAMS' compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for the major federal program. However, our audit does not provide a legal determination of UAMS' compliance.

Opinion on Each Major Federal Program

In our opinion, UAMS complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on its major federal program for the year ended June 30, 2020.

Report on Internal Control Over Compliance

Management of UAMS is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered UAMS' internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal



program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of UAMS' internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

We have audited the financial statements of UAMS as of and for the year ended June 30, 2020, and have issued our report thereon dated October 5, 2020, which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the financial statements as a whole.

KPMG LLP

Dallas, Texas
January 19, 2021

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

Schedule of Findings and Questioned Costs

June 30, 2020

(1) Summary of Auditors' Results

- (a) Type of report issued on whether the financial statements were prepared in accordance with generally accepted accounting principles: **Unmodified**
- (b) Internal control deficiencies over financial reporting disclosed by the audit of the financial statements:
 - Material weaknesses: **No**
 - Significant deficiencies: **None Reported**
- (c) Noncompliance material to the financial statements: **No**
- (d) Internal control deficiencies over major programs disclosed by the audit:
 - Material weaknesses: **No**
 - Significant deficiencies: **None Reported**
- (e) Type of report issued on compliance for major programs: **Unmodified**
- (f) Audit findings that are required to be reported in accordance with 2 CFR 200.516(a): **No**
- (g) Major program:
 - Student Financial Cluster – various CFDA numbers
- (h) Dollar threshold used to distinguish between Type A and Type B programs: **\$3,000,000**
- (i) Auditee qualified as a low-risk auditee: **No**

(2) Findings Relating to the Financial Statements Reported in Accordance with *Government Auditing Standards*

None

(3) Findings and Questioned Costs Relating to Federal Awards

None